## Computability

voorjaar 2023
https://liacs.leidenuniv.nl/~vlietrvan1/computability/
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8. Recursively Enumerable Languages
8.5. Not Every Language is Recursively Enumerable 9. Undecidable Problems
9.2. Reductions and the Halting Problem
9.3. More Decision Problems Involving Turing Machines

### 8.5. Not Every Language is Recursively Enumerable

| reg. languages | FA | reg. grammar | reg. expression |
| :--- | :--- | :--- | :--- |
| determ. cf. languages | DPDA |  |  |
| cf. languages | PDA | cf. grammar |  |
| cs. languages | LBA | cs. grammar |  |
| re. languages | TM | unrestr. grammar |  |

From Foundations of Computer Science:

Definition 8.24.
Countably Infinite and Countable Sets

A set $A$ is countably infinite (the same size as $\mathbb{N}$ ) if there is a bijection $f: \mathbb{N} \rightarrow A$, or a list $a_{0}, a_{1}, \ldots$ of elements of $A$ such that every element of $A$ appears exactly once in the list.
$A$ is countable if $A$ is either finite or countably infinite.
uncountable: not countable

Example 8.29. Languages Are Countable Sets

$$
L \subseteq \Sigma^{*}=\bigcup_{i=0}^{\infty} \Sigma^{i}
$$

A slide from lecture 4

Some Crucial features of any encoding function $e$ :

1. It should be possible to decide algorithmically, for any string $w \in\{0,1\}^{*}$, whether $w$ is a legitimate value of $e$.
2. A string $w$ should represent at most one Turing machine with
a given input alphabet $\Sigma$, or at most one string $z$.
3. If $w=e(T)$ or $w=e(z)$, there should be an algorithm for decoding $w$.

A slide from lecture 4

## Assumptions:

1. Names of the states are irrelevant.
2. Tape alphabet $\Gamma$ of every Turing machine $T$ is subset of infinite set $\mathcal{S}=\left\{a_{1}, a_{2}, a_{3}, \ldots\right\}$, where $a_{1}=\Delta$.

A slide from lecture 4

Definition 7.33. An Encoding Function

Assign numbers to each state:
$n\left(h_{a}\right)=1, n\left(h_{r}\right)=2, n\left(q_{0}\right)=3, n(q) \geq 4$ for other $q \in Q$.

Assign numbers to each tape symbol:
$n\left(a_{i}\right)=i$.

Assign numbers to each tape head direction:
$n(R)=1, n(L)=2, n(S)=3$.

A slide from lecture 4

Definition 7.33. An Encoding Function (continued)

For each move $m$ of $T$ of the form $\delta(p, \sigma)=(q, \tau, D)$

$$
e(m)=1^{n(p)} 01^{n(\sigma)} 01^{n(q)} 01^{n(\tau)} 01^{n(D)} 0
$$

We list the moves of $T$ in some order as $m_{1}, m_{2}, \ldots, m_{k}$, and we define

$$
e(T)=e\left(m_{1}\right) 0 e\left(m_{2}\right) 0 \ldots 0 e\left(m_{k}\right) 0
$$

If $z=z_{1} z_{2} \ldots z_{j}$ is a string, where each $z_{i} \in \mathcal{S}$,

$$
e(z)=01^{n\left(z_{1}\right)} 01^{n\left(z_{2}\right)} 0 \ldots 01^{n\left(z_{j}\right)} 0
$$

Example 8.30. The Set of Turing Machines Is Countable
Let $\mathcal{T}(\Sigma)$ be set of Turing machines with input alphabet $\Sigma$ There is injective function $e: \mathcal{T}(\Sigma) \rightarrow\{0,1\}^{*}$ ( $e$ is encoding function)

Hence (. . .) , set of recursively enumerable languages is countable

Example 8.31. The Set $2^{\mathbb{N}}$ is Uncountable

Hence, because $\mathbb{N}$ and $\{0,1\}^{*}$ are the same size, there are uncountably many languages over $\{0,1\}$

Theorem 8.32. Not all languages are recursively enumerable. In fact, the set of languages over $\{0,1\}$ that are not recursively enumerable is uncountable.
(Not) Recursively enumerable
vs.
(Not) Countable

A slide from lecture 4:

Theorem 8.4. If $L_{1}$ and $L_{2}$ are both recursively enumerable languages over $\Sigma$, then $L_{1} \cup L_{2}$ and $L_{1} \cap L_{2}$ are also recursively enumerable.

## Proof. . .

## Exercise 8.3.

Is the following statement true or false?

If $L_{1}, L_{2}, \ldots$ are any recursively enumerable subsets of $\Sigma^{*}$, then $\cup_{i=1}^{\infty} L_{i}$ is recursively enumerable.

Give reasons for your answer.

### 9.2. Reductions and the Halting Problem

A slide from lecture 6:

For general decision problem $P$, an encoding $e$ of instances $I$ as strings $e(I)$ over alphabet $\Sigma$ is called reasonable, if

1. there is algorithm to decide if string over $\Sigma$ is encoding $e(I)$
2. $e$ is injective
3. string $e(I)$ can be decoded

A slide from lecture 6:

For general decision problem $P$ and reasonable encoding $e$,

$$
\begin{aligned}
& Y(P)=\{e(I) \mid I \text { is yes-instance of } P\} \\
& N(P)=\{e(I) \mid I \text { is no-instance of } P\} \\
& E(P)=Y(P) \cup N(P)
\end{aligned}
$$

$E(P)$ must be recursive

A slide from lecture 6:

Definition 9.3. Decidable Problems

If $P$ is a decision problem, and $e$ is a reasonable encoding of instances of $P$ over the alphabet $\Sigma$, we say that $P$ is decidable if $Y(P)=\{e(I) \mid I$ is a yes-instance of $P\}$ is a recursive language.

A slide from lecture 6:
Definition 9.6. Reducing One Decision Problem to Another ...

Suppose $P_{1}$ and $P_{2}$ are decision problems. We say $P_{1}$ is reducible to $P_{2}\left(P_{1} \leq P_{2}\right)$

- if there is an algorithm
- that finds, for an arbitrary instance $I$ of $P_{1}$, an instance $F(I)$ of $P_{2}$,
- such that
for every $I$ the answers for the two instances are the same, or $I$ is a yes-instance of $P_{1}$
if and only if $F(I)$ is a yes-instance of $P_{2}$.

A slide from lecture 6:

Theorem 9.7.

Suppose $P_{1}$ and $P_{2}$ are decision problems, and $P_{1} \leq P_{2}$. If $P_{2}$ is decidable, then $P_{1}$ is decidable.

A slide from lecture 6:

Two more decision problems:

Accepts: Given a TM $T$ and a string $w$, is $w \in L(T)$ ?
Halts: Given a TM $T$ and a string $w$, does $T$ halt on input $w$ ?

# 9.3. More Decision Problems Involving Turing Machines 

Accepts: Given a TM $T$ and a string $x$, is $x \in L(T)$ ? Instances are ...

Halts: Given a TM $T$ and a string $x$, does $T$ halt on input $x$ ? Instances are ...

Self-Accepting: Given a TM $T$, does $T$ accept the string $e(T)$ ? Instances are ...

Accepts: Given a TM $T$ and a string $x$, is $x \in L(T)$ ? Instances are ...

Halts: Given a TM $T$ and a string $x$, does $T$ halt on input $x$ ? Instances are...

Self-Accepting: Given a TM $T$, does $T$ accept the string $e(T)$ ? Instances are...

Now fix a TM $T$ :
$T$-Accepts: Given a string $x$, does $T$ accept $x$ ?
Instances are ...
Decidable or undecidable ? (cf. Exercise 9.7.)

Theorem 9.9. The following five decision problems are undecidable.

1. Accepts-^: Given a $T M T$, is $\Lambda \in L(T)$ ?

## Proof.

1. Prove that Accepts $\leq$ Accepts-^ . . .

Reduction from Accepts to Accepts-^.

Instance of Accepts is ( $T_{1}, x$ ) for TM $T_{1}$ and string $x$. Instance of Accepts- $\wedge$ is $\mathrm{TM} T_{2}$.
$T_{2}=F\left(T_{1}, x\right)=$

$$
\operatorname{Write}(x) \rightarrow T_{1}
$$

$T_{2}$ accepts $\wedge$, if and only if $T_{1}$ accepts $x$.

If we had an algorithm/TM $A_{2}$ to solve Accepts- $\Lambda$, then we would also have an algorithm/TM $A_{1}$ to solve Accepts, as follows:
$A_{1}$ :
Given instance $\left(T_{1}, x\right)$ of Accepts,

1. construct $T_{2}=F\left(T_{1}, x\right)$;
2. run $A_{2}$ on $T_{2}$.
$A_{1}$ answers 'yes' for ( $\left.T_{1}, x\right)$,
if and only if $A_{2}$ answers 'yes' for $T_{2}$,
if and only if $T_{2}$ is yes-instance of Accepts- $\wedge$ ( $T_{2}$ accepts $\Lambda$ ),
if and only if $\left(T_{1}, x\right)$ is yes-instance of Accepts ( $T_{1}$ accepts $x$ )

Theorem 9.7.

Suppose $P_{1}$ and $P_{2}$ are decision problems, and $P_{1} \leq P_{2}$. If $P_{2}$ is decidable, then $P_{1}$ is decidable.

Order $P_{1} \leq P_{2}$

## Proof. . .

## Informal proof:

Suppose that $P_{1} \leq P_{2}$, and that function $F$ maps instance $I_{1}$ of $P_{1}$ to instance $I_{2}=F\left(I_{1}\right)$ of $P_{2}$ with same answer yes/no

If we have an algorithm/TM $A_{2}$ to solve $P_{2}$, then we also have an algorithm/TM $A_{1}$ to solve $P_{1}$, as follows:
$A_{1}$ :
Given instance $I_{1}$ of $P_{1}$,

1. construct $I_{2}=F\left(I_{1}\right)$;
2. run $A_{2}$ on $I_{2}$.

$$
A_{1}: I_{1} \longrightarrow I_{2} \longrightarrow A_{2} \text { yes } / \text { no }
$$

$A_{1}$ answers 'yes' for $I_{1}$,
if and only if $A_{2}$ answers 'yes' for $I_{2}$,
if and only $I_{2}=F\left(I_{1}\right)$ is yes-instance of $P_{2}$,
if and only if $I_{1}$ is yes-instance of $P_{1}$

In context of decidability: decision problem $P \approx$ language $Y(P)$
Question
"is instance $I$ of $P$ a yes-instance ?"
is essentially the same as
"does string $x$ represent yes-instance of $P$ ?",
i.e.,
"is string $x \in Y(P)$ ?"

Theorem 9.9. The following five decision problems are undecidable.

1. Accepts-^: Given a $T M T$, is $\Lambda \in L(T)$ ?

## Proof.

1. Prove that Accepts $\leq$ Accepts-^ . . .

Theorem 9.9. The following five decision problems are undecidable.
2. AcceptsEverything:

Given a TM $T$ with input alphabet $\Sigma$, is $L(T)=\Sigma^{*}$ ?
Proof.
2. Prove that Accepts-^ $\leq$ AcceptsEverything ...

Theorem 9.9. The following five decision problems are undecidable.
3. Subset: Given two TMs $T_{1}$ and $T_{2}$, is $L\left(T_{1}\right) \subseteq L\left(T_{2}\right)$ ?

## Proof.

3. Prove that AcceptsEverything $\leq$ Subset ...

Theorem 9.9. The following five decision problems are undecidable.
4. Equivalent: Given two TMs $T_{1}$ and $T_{2}$, is $L\left(T_{1}\right)=L\left(T_{2}\right)$

## Proof.

4. Prove that Subset $\leq$ Equivalent . . .
‘The intersection of two Turing machines’

Accepts- $\wedge$ : Given a TM $T$, is $\wedge \in L(T)$ ?

Theorem 9.9. The following five decision problems are undecidable.
5. WritesSymbol:

Given a TM $T$ and a symbol $a$ in the tape alphabet of $T$, does $T$ ever write $a$ if it starts with an empty tape ?

## Proof.

5. Prove that Accepts- $\wedge \leq$ WritesSymbol ...

AtLeast10MovesOn-^:
Given a TM $T$, does $T$ make at least ten moves on input $\wedge$ ?

WritesNonblank: Given a TM $T$, does $T$ ever write a nonblank symbol on input $\wedge$ ?

Theorem 9.10.
The decision problem WritesNonblank is decidable.

## Proof. . .

Definition 9.11. A Language Property of TMs
A property $R$ of Turing machines is called a language property if, for every Turing machine $T$ having property $R$, and every other TM $T_{1}$ with $L\left(T_{1}\right)=L(T), T_{1}$ also has property $R$.

A language property of TMs is nontrivial if there is at least one $T M$ that has the property and at least one that doesn't.

In fact, a language property is a property of the languages accepted by TMs.

Example of nontrivial language property:
2. AcceptsSomething:

Given a TM $T$, is there at least one string in $L(T)$ ?

## Theorem 9.12. Rice's Theorem

If $R$ is a nontrivial language property of TMs, then the decision problem

$$
P_{R}: \text { Given a TM } T \text {, does } T \text { have property } R \text { ? }
$$

is undecidable.

## Proof. . .

Prove that Accepts- $\wedge \leq P_{R} \ldots$
(or that Accepts- $\wedge \leq P_{\text {not }-R} \ldots$...)

Examples of decision problems to which Rice's theorem can be applied:

1. Accepts- $L$ : Given a TM $T$, is $L(T)=L$ ? (assuming ...)
2. AcceptsSomething:

Given a TM $T$, is there at least one string in $L(T)$ ?
3. AcceptsTwoOrMore:

Given a TM $T$, does $L(T)$ have at least two elements ?
4. AcceptsFinite: Given a TM $T$, is $L(T)$ finite ?
5. AcceptsRecursive:

Given a TM $T$, is $L(T)$ recursive ? (note that ...)

All these problems are undecidable.

Rice's theorem cannot be applied (directly)

- if the decision problem does not involve just one TM Equivalent: Given two TMs $T_{1}$ and $T_{2}$, is $L\left(T_{1}\right)=L\left(T_{2}\right)$

Rice's theorem cannot be applied (directly)

- if the decision problem does not involve just one TM Equivalent: Given two TMs $T_{1}$ and $T_{2}$, is $L\left(T_{1}\right)=L\left(T_{2}\right)$
- if the decision problem involves the operation of the TM WritesSymbol: Given a TM $T$ and a symbol $a$ in the tape alphabet of $T$, does $T$ ever write $a$ if it starts with an empty tape ? WritesNonblank: Given a TM $T$, does $T$ ever write a nonblank symbol on input $\wedge$ ?
- if the decision problem involves a trivial property Accepts-NSA: Given a TM $T$, is $L(T)=$ NSA ?

Undecidable Decision Problems (we have discussed)


## Planning

laatste hoor-/werkcollege,
vrijdag 24 maart 2023, 13.15-15.00 uur
tentamen, donderdag 30 maart 2023, 09.00-12.00 uur
vragenuur, 28 maart 2023, 11.00-12.45 uur? Ja!

