

# Lindstrom scanning and link inversion

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In this short note we present a derivation (with implicit correctness proof) of Lindstrom scanning of binary trees, starting from simple specifications of tree traversals. In a similar way the link inversion algorithm can and will be derived. As general references we mention [1] and [2].

Binary trees are defined by

$$\text{Tree} ::= \text{"nil"} \mid \text{"t(" Tree ",n(" Name "," Mark ")"," Tree ")"} \quad ,$$

where `Mark` is an integer and `Name` represents the name of the node. A root-left-root-right-root traversal of such a tree is generated by

$$\text{Visit}(\text{nil}) = [] \quad ,$$
$$\begin{aligned} \text{Visit}(\text{t}(L,n(r,0),R)) &= [r,0] + \text{Visit}(L) + [r,1] \\ &\quad + \text{Visit}(R) + [r,2] \quad , \end{aligned}$$

where —for the moment— we assume that initially all nodes contain 0. Here the symbol `+` denotes concatenation of lists.

For trees `S` and `T`, and lists `v`, we define

$$\text{Lindstrom}(S,T,v) = v + \text{Visit}(S) + \text{Visit}(T) \quad .$$

Notice that

$$\text{Lindstrom}(\text{nil},\text{nil},v) = v \quad ,$$
$$\text{Lindstrom}(\text{nil},T,v) = \text{Lindstrom}(T,\text{nil},v) \quad .$$

Now we compute

$$\begin{aligned} &\text{Lindstrom}(\text{t}(L,n(r,0),R),T,v) \\ &= v + [r,0] + \text{Visit}(L) + [r,1] \\ &\quad + \text{Visit}(R) + [r,2] + \text{Visit}(T) \\ &= \text{Lindstrom}(L,\text{t}(R,n(r,1),T),v+[r,0]) \quad , \end{aligned}$$

if we define

$$\text{Visit}( t(L,n(r,1),R) ) = [r,1] + \text{Visit}( L ) + [r,2] + \text{Visit}( R ) .$$

Notice that initially `Visit` was only defined for a tree with root containing 0. Proceeding as above we get, for  $x$  in  $\{0,1,2\}$ ,

$$\begin{aligned} \text{Lindstrom}( t(L,n(r,x),R),T,v ) \\ = \text{Lindstrom}( L,t(R,n(r,x+1),T),v+[r,x] ) , \end{aligned}$$

where we defined

$$\text{Visit}( t(L,n(r,2),R) ) = [r,2] + \text{Visit}( L ) + \text{Visit}( R ) ,$$

$$\text{Visit}( t(L,n(r,3),R) ) = \text{Visit}( L ) + \text{Visit}( R ) .$$

Finally we have

$$\begin{aligned} \text{Lindstrom}( t(L,n(r,3),R),T,v ) = v + \text{Visit}( L ) \\ + \text{Visit}( R ) + \text{Visit}( T ) . \end{aligned}$$

In order to clarify this “halting condition”, and also for showing similarity to the usual Lindstrom scanning, we state

### Theorem

Suppose that a tree  $S$  initially has only zeroes in its `Mark` fields. Let  $T$  be an arbitrary tree and  $v$  an arbitrary list. Then the computation of `Lindstrom( S,T,v )` reaches `Lindstrom( T,Three(S),v+Visit(S) )`, where `Three` is defined by

$$\text{Three}( \text{nil} ) = \text{nil} ,$$

$$\text{Three}( t(L,n(r,0),R) ) = t(\text{Three}(L),n(r,3),\text{Three}(R)) .$$

### Proof

The proof of the theorem is by induction on  $S$ , the case  $S = \text{nil}$  being trivial. So we let  $S = t(L,n(r,0),R)$ , and assuming the truth of the theorem for  $L$  and  $R$  we get

$$\begin{aligned} \text{Lindstrom}( t(L,n(r,0),R),T,v ) \\ = \text{Lindstrom}( L,t(R,n(r,1),T),v+[r,0] ) \\ = \text{Lindstrom}( t(R,n(r,1),T),\text{Three}( L ),v+[r,0]+\text{Visit}( L ) ) \\ = \text{Lindstrom}( R,t(T,n(r,2),\text{Three}( L )),v+[r,0]+\text{Visit}( L )+[r,1] ) \\ = \text{Lindstrom}( t(T,n(r,2),\text{Three}( L )),\text{Three}( R ), \\ \quad v+[r,0]+\text{Visit}( L )+[r,1]+\text{Visit}( R ) ) \\ = \text{Lindstrom}( T,t(\text{Three}( L ),n(r,3),\text{Three}( R )), \\ \quad v+[r,0]+\text{Visit}( L )+[r,1]+\text{Visit}( R )+[r,2] ) \\ = \text{Lindstrom}( T,\text{Three}( S ),v+\text{Visit}( S ) ) . \end{aligned}$$

As a consequence we have

### Corollary

Suppose that a tree  $S$  initially has only zeroes in its `Mark` fields. Let  $T^*$  be either `nil` or `t(nil,n(special,3),nil)`. Then the computation of `Lindstrom( S,T*,[ ] )` reaches `Lindstrom( T*,Three( S ),Visit( S ) )` and in this case the “halting condition” may be replaced with

$$\text{Lindstrom}( t(L,n(r,3),R),T,v ) = v .$$

Notice that `Lindstrom` does not destroy the original tree structure; it only changes all zeroes into threes (this follows from the Theorem). It is also possible to drop all marking, introducing an explicit “halting condition” by means of  $T^*$ . This leads to the following more familiar self-explaining program:

```

if ( Root <> NIL ) then
  New(Star);
  Present, Previous := Root, Star;
  while ( Present <> Star ) do
    if ( Present = NIL ) then
      Present, Previous := Previous, Present fi;
      Present, Present->Left, Present->Right, Previous :=
        Present->Left, Present->Right, Previous, Present;
    od;
  fi;

```

In a similar way one can produce the link inversion algorithm. The only difference is that, instead of the original definition of `Visit( t(L,n(r,1),R) )`, we start from

$$\text{Visit}( t(L,n(r,1),R) ) = [r,1] + \text{Visit}( R ) + [r,2] + \text{Visit}( L ) .$$

In order to get the usual link inversion algorithm some computations are necessary, for instance

$$\begin{aligned} \text{LinkInversion}( \text{nil},t(L,n(r,1),R),v ) \\ = \text{LinkInversion}( t(\text{nil},n(r,1),R),L,v ) , \end{aligned}$$

giving a link inversion analogue of one of the equations above, for this choice of the second argument. It also appears that we now get

$$\begin{aligned} \text{LinkInversion}( t(L,n(r,x),R),T,v ) \\ = \text{LinkInversion}( A,t(B,n(r,x+1),C),v+[r,x] ) , \end{aligned}$$

where  $(A,B,C)$  is either  $(L,T,R)$ ,  $(R,L,T)$  or  $(L,R,T)$ , corresponding with either  $x = 0$ ,  $x = 1$  or  $x = 2$ .

So in this case the `Mark` fields are necessary. However, it appears that one bit per node is sufficient (using one global variable).

## References

- [1] D. Gries, The science of programming, Springer-Verlag, New York, 1981.
- [2] T.A. Standish, Data structure techniques, Addison-Wesley, Reading, 1980.

Leiden, November 1987.